

6, 832,986

CFC

PATENT  
02581-P0392A WWW/SBS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                                 |
|-----------------------|---------------------------------|
| Applicants            | Ashwani Chhibber, <i>et al.</i> |
| Serial No. 09/902,081 | Filing Date: July 10, 2001      |
| Title of Application: | Endoscopic Intubation System    |
| Confirmation No. 7798 | Art Unit: 3739                  |
| Examiner              | Beverly M. Flanagan             |

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

**Request for Certificate of Correction  
of Patent for PTO Mistake (37 CFR 1.322(A))**

RECEIVED  
FEB 11 2005  
CERTIFICATE OF CORRECTION

Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.

NOTE: Form PTO-1050, using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. MPEP §1485.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. MPEP §1485.

The exact page and line number where the errors are shown correctly in the application file are:

NOTE: This information should be identified in this request, however, on Form PTO-1050, only the column and line number in the printed patent should be used. MPEP §1485.

Page 1, (73) There are two Assignees. Please list both assignees as follows:

Assignee: Karl Storz GmbH & Co. KG (DE)

University of Rochester  
Rochester, NY (US)

(See enclosed copies of the recorded Assignments.)

Certificate of Mailing: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents; Post Office Box 1450; Alexandria, VA 22313-1450.

February 8, 2005

  
Charlotte E. Hanulik

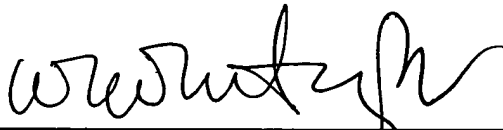
FEB 15 2005

Page two  
Patent No. 6,832,986  
Request for Certificate of Correction

Please send the Certificate to:

Name: Wesley W. Whitmyer, Jr., Esquire  
Address: ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, Connecticut 06905

Respectfully submitted,



---

Wesley W. Whitmyer, Jr., Registration No. 33,558  
Steven B. Simonis, Registration No. 54,449  
Attorneys for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

**UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF CORRECTION**

**PATENT NO.** : 6,832,986  
**DATED** : December 21, 2004  
**INVENTOR** : Ashwani Chhibber *et al.*

**It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:**

1st Page of Patent:

(73) Assignee: Karl Storz GmbH & Co. KG (DE)  
University of Rochester  
Rochester, NY (US)

-----  
Mailing Address of Sender:

Patent No.: 6,832,986

**Certificate of Correction (PTO Form 1050) - Amended**

Wesley W. Whitmyer, Jr., Esquire  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, Connecticut 06905-5619

**UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF CORRECTION**

**PATENT NO.** : 6,832,986  
**DATED** : December 21, 2004  
**INVENTOR** : Ashwani Chhibber *et al.*

**It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:**

1st Page of Patent:

(73) Assignee: Karl Storz GmbH & Co. KG (DE)  
University of Rochester  
Rochester, NY (US)

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Mailing Address of Sender:

Patent No.: 6,832,986

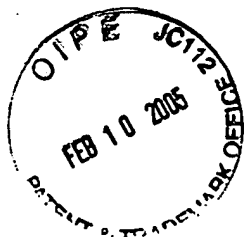
**Certificate of Correction (PTO Form 1050) - Amended**

Wesley W. Whitmyer, Jr., Esquire  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, Connecticut 06905-5619

**FEB 1 5 2005**



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



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St. Onge Steward Johnston & Reens

MAR 18 2002

MARCH 12, 2002

PTAS

ST. ONGE STEWARD JOHNSTON & REENS LLC  
WESLEY W. WHITMEYER, JR.  
ATTORNEY FOR APPLICANTS  
986 BEDFORD STREET  
STAMFORD, CT 06905-5619

FILE 2581-PD392A  
DKT 3/25/02-AST

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*101952775A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/09/2002

REEL/FRAME: 012468/0675  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHHIBBER, ASHWANI

DOC DATE: 11/19/2001

ASSIGNEE:

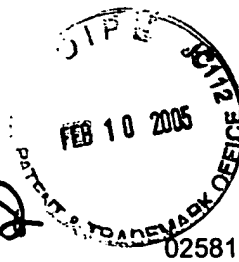
ROCHESTER UNIVERSITY OF  
601 ELMWOOD AVENUE  
ROCHESTER, NEW YORK 14642

SERIAL NUMBER: 09902081  
PATENT NUMBER:

FILING DATE: 07/10/2001  
ISSUE DATE:

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

01-18-2002  
101952775

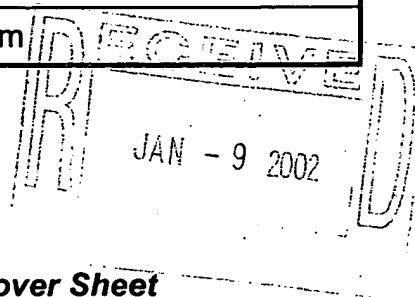


PATENT  
02581-P0392A WWW/SBS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                              |
|-----------------------|------------------------------|
| Applicants            | Ashwani Chhibber, et al.     |
| Serial No.09/902,081  | Filing Date July 10, 2001    |
| Title of Application: | Endoscopic Intubation System |

Box Assignment  
Assistant Commissioner for Patents  
Washington, DC 20231



**Patent Assignment Recordation Form Cover Sheet**

Dear Sir:

Please record the attached original document.

1. Name of conveying party. Ashwani Chhibber.
2. Name and Address of Receiving Party. University of Rochester,  
601 Elmwood Avenue, Rochester, New York 14642.
3. Nature of Conveyance: Assignment. Execution Date: November 19,  
2001.
4. Name and Address of Party to Whom Correspondence Concerning  
Document should be mailed.

Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorney for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

**Mailing Certificate:** I hereby certify that this correspondence is today being deposited  
with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Box  
Assignment; Commissioner for Patents and Trademarks; Washington, DC 20231.

November 27, 2001

Charlotte E. Hanulik

01/17/2002 TDI A21 00000200 09902081

01 FC:581

40.00 OP

FEB 15 2005

5. Application Number: Application Serial No. 09/902,081 filed July 10, 2001.
6. Total Number of Applications Involved: 1.
7. Total Fee (37 CFR 3.41). \$40.00 due. A check for this amount is enclosed.
8. Authorization to Charge Deposit Account. The Commissioner is hereby authorized to charge any additional fees due by this paper and during the entire pendency of this Application to Account No. 19-4516.
9. Statement and Signature. Total number of pages including cover sheet, attachments and document are 4. To the best of my knowledge and belief, the foregoing information is true and correct and any copy submitted herewith is a true copy of the original document.

Respectfully submitted,



---

Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorney for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

**Assignment**

**WHEREAS**, I, Ashwani Chhibber, a citizen of the United States, reside at 1101 Reed Road; Churchville; New York 14428, and have made certain inventions or discoveries (or both) as set forth in an application for Letters Patent of the United States of America, entitled **Endoscopic Intubation System**, bearing Patent Application Serial No. 09/902,081 and filed on July 10, 2001;

**WHEREAS**, University of Rochester, a corporation organized and existing under the laws of the State of New York, and having an office and place of business at 601 Elmwood Avenue, Rochester, New York 14642, and, together with its successors and assigns is hereinafter called "Assignee", and is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

**NOW THEREFORE**, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patents to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;



Page 2

Assignment

Serial No. 09/902,081

5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents, including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns, which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

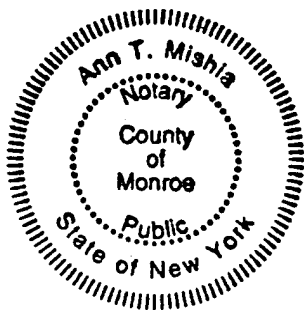
IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal.

Nov. 19, 2001  
Date

Ashwani Chhibber  
Ashwani Chhibber  
1101 Reed Road  
Churchville, New York 14428

STATE OF New York : ss.  
COUNTY OF Monroe :

On this 19<sup>th</sup> day of November, 2001, before me personally came Ashwani Chhibber, to me known and known to me to be the same person described in and who executed the foregoing instrument and duly acknowledged to me that this document was executed as a free act and deed.

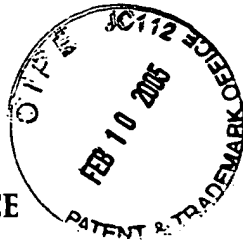


Ann T. Mishia  
Notary Public

ANN T. MISHIA  
Notary Public in the State of New York  
Qualified in Monroe County  
Commission Expires Sept. 8, 2005



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



RECEIVED  
St. Onge Steward Johnston & Reens

APR 15 2002

FILE 2581-P0392A  
DKT 41102-AST

APRIL 10, 2002

PTAS

ST. ONGE STEWARD JOHNSTON & REENS LLC  
WESLEY W. WHITMYER, JR.  
986 BEDFORD ST.  
STAMFORD, CT 06905-5619

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*101983922A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/28/2002

REEL/FRAME: 012568/0176  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BERCI, GEORGE

DOC DATE: 12/14/2001

ASSIGNEE:

KARL STORZ GMBH & CO. KG  
MITTELSTRASSE 8  
D-78532 TUTTLINGEN, FED REP GERMANY

SERIAL NUMBER: 09902081

PATENT NUMBER:

FILING DATE: 07/10/2001

ISSUE DATE:

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

02-15-2002



101983922

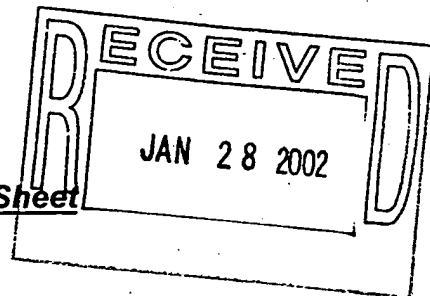
PATENT  
02581-P0392A WWW/SBS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                       |                              |
|-----------------------|------------------------------|
| Applicants            | George Berci, et al.         |
| Serial No.09/902,081  | Filing Date July 10, 2001    |
| Title of Application: | Endoscopic Intubation System |

Box Assignment  
Assistant Commissioner for Patents  
Washington, DC 20231

**Patent Assignment Recordation Form Cover Sheet**



Dear Sir:

Please record the attached original document.

- 1-28-02
- Name of conveying party. George Berci, a United States citizen, who resides at 1271 Stoner Avenue #409; Los Angeles, CA 90025
  - Name and Address of Receiving Party. Karl Storz GmbH & Co. KG, a 27136 limited partnership; Mittelstrasse 8; D-78532 Tuttlingen, Germany.
  - Nature of Conveyance: Assignment. Execution Date: December 14, 2001.
  - Name and Address of Party to Whom Correspondence Concerning Document should be mailed.

Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorney for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

02/14/2002 DBYRNE 00000182 09902081

01 RC:581

40.00 OP

Mailing Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Box Assignment; Commissioner for Patents and Trademarks; Washington, DC 20231.

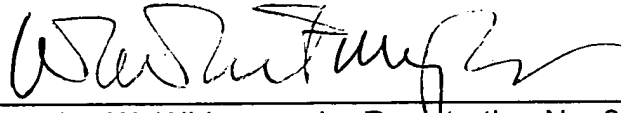
December 17, 2001

Charlotte E. Hanulik

FEB 15 2005

5. Application Number: Application Serial No. 09/902,081 filed July 10, 2001.
6. Total Number of Applications Involved: 1.
7. Total Fee (37 CFR 3.41). \$40.00 due. A check for this amount is enclosed.
8. Authorization to Charge Deposit Account. The Commissioner is hereby authorized to charge any additional fees due by this paper and during the entire pendency of this Application to Account No. 19-4516.
9. Statement and Signature. Total number of pages including cover sheet, attachments and document are 4. To the best of my knowledge and belief, the foregoing information is true and correct and any copy submitted herewith is a true copy of the original document.

Respectfully submitted,



Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorney for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

FEB 15 2005

### Assignment

**WHEREAS**, I, George Berci, a citizen of United States, reside at 1271 Stoner Avenue #409; Los Angeles; CA 90025, and have made certain inventions or discoveries (or both) as set forth in an application for Letters Patent of the United States of America, entitled **Endoscopic Intubation System**, bearing Patent Application Serial No. 09/902,081 and filed on July 10, 2001;

**WHEREAS**, Karl Storz GmbH & Co. KG, a limited partnership organized and existing under the laws of the State of Germany, and having an office and place of business at Mittelstrasse 8; D-78532 Tuttlingen, Germany, and, together with its successors and assigns is hereinafter called "Assignee", and is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

**NOW THEREFORE**, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patents to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

Page 2  
Assignment  
Serial No. 09/902,081

5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents, including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns, which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal.

Date

12/14/01  
my

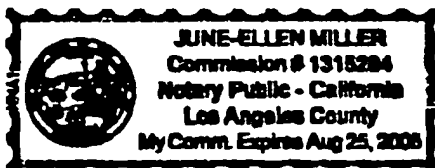
George Berci

1271 Stoner Avenue #409  
Los Angeles, CA 90025

STATE OF *California*  
COUNTY OF *Los Angeles*

: ss.  
:

On this December 14, 2001, before me personally came **George Berci**, to me known and known to me to be the same person described in and who executed the foregoing instrument and duly acknowledged to me that this document was executed as a free act and deed.



*June-Elle Miller*  
Notary Public

FEB 15 2005

TOTAL P.04